



## NOTICE OF AMENDMENT TO EXISTING CLIENT AGREEMENTS

Dear Valued Customer,

Notice is hereby given that pursuant to Clause 23 of the Client Agreement for Securities Trading ( which incorporates a supplemental Stock Options Trading Agreement ) and Clause 16 of the Client Agreement for Futures and Options Trading, the aforesaid existing client agreements and other supplemental terms and conditions to comply with updated legal and regulatory requirements, which we have issued and notified you of from time to time, will with effect from 1 September 2022 (the “ **Effective Date**”) be consolidated and incorporated into one composite agreement entitled “ Client Agreement for Securities Trading , Futures and Options Trading and Stock Options Trading ” (the “ **Client Agreement**”).

We have decided to make such consolidation for reason of customer administrative efficiency as well as providing an enhanced and streamlined presentation format. In addition, we have made throughout the Client Agreement drafting changes to various clause to improve the language quality but these changes do not adversely affect or impact your rights and obligations, all of which continue to subsist in the same manner.

You are asked to read and understand the Client Agreement, a full copy of which can be found on our website at the following link:

[https://www.tonghaidirect.com/ext\\_materials/Direct\\_Spot\\_CA.pdf](https://www.tonghaidirect.com/ext_materials/Direct_Spot_CA.pdf)

Please note the Client Agreement shall be binding on you if you continue to use or retain your securities, futures and/or stock options account (s) and/or service(s) on or after the Effective Date. If you do not agree or accept the Client Agreement, you shall have a right before the Effective Date to notify us and terminate your account(s) and /or service(s) in accordance with the relevant clauses under the existing client agreements.

Should you require us to send you a copy of the Client Agreement or have any queries, please contact our Customer Services Department at telephone +852 2971 5412.

We also advise that after the Effective Date and in accordance with relevant clause of the Client Agreement, we reserve the right to amend and/or introduce any new terms and conditions to the Client Agreement in particular in respect of new services or products which we may make available from time to time to our customers.

This Notice is prepared in both Chinese and English versions. In case of any inconsistency between the English and Chinese versions of this Notice, the English version shall prevail.

Yours faithfully,

**Direct Spot**  
**CHINA TONGHAI SECURITIES LIMITED**

**20JULY2022**



## 客戶協議修訂通知

尊貴的顧客，

茲通知，根據《證券交易客戶協議》（及其股票期權交易補充協議）的第 23 條條款及《期貨和期權交易客戶協議》的第 16 條條款，前述現有客戶協議及其他由本公司不時因遵循最新法律和監管要求而發布並通知閣下的補充條款及細則將自 2022 年 9 月 1 日（「生效日期」）起合併為《適用於證券交易、期貨和期權交易以及股票期權交易的客戶協議》的單一綜合協議（「客戶協議」）。

為提昇客服效率以及精簡演示格式，本公司決定進行是次合併。此外，本公司在草擬全新客戶協議過程中，就個別條款的用詞作出優化，惟此等修改將不會對閣下的權利和義務產生改變或不良影響，閣下的權利和義務將以相同的方式延續。

懇請閣下閱讀並了解經修訂的客戶協議，完整版本可從本公司網站 ([https://www.tonghaidirect.com/ext\\_materials/Direct\\_Spot\\_CA.pdf](https://www.tonghaidirect.com/ext_materials/Direct_Spot_CA.pdf)) 下載。請注意，如果閣下在生效日期或之後繼續使用或保留閣下的證券、期貨及/或股票期權帳戶及/或服務，則經修訂的客戶協議將對閣下具有約束力。如果閣下不同意或不接受經修訂的客戶協議，閣下有權在生效日期之前根據現時客戶協議的相關條款通知本公司並終止閣下的帳戶及/或服務。

若閣下欲向本公司索取經修訂的客戶協議副本或對經修訂的客戶協議存有任何疑問，請致電 +852 2971 5412 與客戶服務部聯繫。

此外，在生效日期之後，本公司保留根據經修訂的客戶協議的相關條款以修訂及/或加入任何條款及細則的權利，特別是因應本公司或會不時向客戶提供新的服務或產品。

本通知備有中文及英文版本。如本通知的中、英文兩個版本存有任何歧義，概以英文版本為準。

Direct Spot

中國通海證券有限公司

2022 年 7 月 20 日